



OFFICE OF THE CITY AUDITOR

COLORADO SPRINGS, COLORADO

14-19 Colorado Springs Sales Tax Application Audit

August 2014

Purpose

The purpose of this review was to evaluate the general and application Information Technology controls related to the Sales Tax Application which was implemented in April 2013.

Conclusion

We concluded that general and application IT controls had issues which needed to be addressed. We observed three (3) issues that were discussed with the City's Department of Information Technology (DoIT) management and Sales Tax management. DoIT and Sales Tax management have agreed to address these issues. We will follow up on management's actions in future reports.

Our audit reviewed the details of security and privacy controls in place for the application. We are not including details concerning any identified vulnerabilities (or strengths) related to the security of any City information technology systems. Disclosure of this information to the public would be contrary to the public interest in improving or maintaining secure information technology and financial systems for the City. Many of the issues may be addressed as DoIT progresses through the implementation of the Information Technology Strategic Plan published in September 2013. The details of this audit are not required to be released to the public per C.R.S. § 24-72-204(2)(a)(VIII)(A).

Respectfully,

Denny L. Nester, MBA CPA CIA CFE CGFM CGAP
City Auditor

Management Response

Management was in agreement with the issues identified. Management has identified action plans to address each issue appropriately within a reasonable amount of time.

This audit was conducted in conformance with the International Standards for the Professional Practice of Internal Auditing, a part of the Professional Practices Framework promulgated by the Institute of Internal Auditors.

City Council's Office of the City Auditor
City Hall, 107 North Nevada Ave. Suite 205, Mail Code 1542, Colorado Springs CO 80901-1575
Tel 719-385-5991 Fax 719-385-5699 Reporting Hotline 719-385-2387