



OFFICE OF THE CITY AUDITOR COLORADO SPRINGS, COLORADO

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A handwritten signature in black ink, appearing to read "Denny L. Nester".

15-13 Protection of Personally Identifiable Information

April 2015

Purpose

The purpose of this audit was to evaluate the controls and security of personally identifiable information electronically stored in City systems.

Personally identifiable information is defined as any information that can be used to identify, locate, or contact an individual, either by itself or in combination with other information. Name, mailing address, phone number, email address, social security number, and personal health records are all examples of personally identifiable information.

Highlights

Based on our review we conclude that controls over the protection of personally identifiable information were generally effective. We identified several opportunities for improvement that were discussed with management. These opportunities were provided to management for their consideration. In addition, we provided management general guidelines and best practices to protect personally identifiable information.

Our audit reviewed the details of security and privacy controls in place for City applications. We are not including details concerning any identified vulnerabilities (or strengths) related to the security of any City information technology systems. Disclosure of this information to the public would be contrary to the public interest in improving or maintaining secure information technology and financial systems for the City. The details of this audit are not required to be released to the public per C.R.S. § 24-72-204(2)(a)(VIII)(A).

Management Response

Management was not asked to provide a response. No follow-up will be performed.

This audit was conducted in conformance with the International Standards for the Professional Practice of Internal Auditing, a part of the Professional Practices Framework promulgated by the Institute of Internal Auditors.